

CURRICULUM VITAE
ETTIE ROSENBERG, PHARMD, JD

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PROFESSIONAL LICENSURE

State Bar of California, Licensed Attorney # 208762 (2000)
California State Board of Pharmacy, Pharmacist # 33408 (1979)
Nevada State Board of Pharmacy, Pharmacist # 7759 (1979)

EDUCATION

Juris Doctorate (JD)

Southwestern University School of Law (1999) (1995-1999)
Los Angeles, California

Doctor of Pharmacy (PharmD)

University of Southern California (USC), School of Pharmacy (1979) (1975-1979)
Los Angeles, California

Undergraduate Studies (Pre-Pharmacy)

University of California, Los Angeles (UCLA) (1973-1975)

CURRENT ROLE

West Coast University (WCU), School of Pharmacy

Los Angeles, California

Assistant Dean of Student Affairs November 2019 – present

Associate Professor, Pharmacy Practice January 2017 – present

Assistant Professor, Department of Pharmacy Practice January 2015 – December 2016

Adjunct Assistant Professor, Department of Pharmacy Practice September - December 2014

Los Angeles County Superior Criminal Court Panel of Approved Criminal Experts (PACE)

Panel Appointment

Los Angeles, California June 2011 through present

Advised and assisted counsel on defense strategy and analysis of drug and pharmacology related issues in criminal defense matters after independent review and analysis of client's case files, medical and pharmacy records; provided verbal and or written opinions and reports, consultation, and expert opinion testimony as required on case by case basis.

The Pharmacy Expert

Forensic Pharmacy and Contract Pharmacy Consultant

Los Angeles, California June 2000 through present

Forensic Consultant:

Advised and assisted plaintiff or defense counsel on litigation strategy and analysis of drug and pharmacology related issues in civil matters after independent review and analysis of client's case

files, medical and pharmacy records; provided verbal and or written opinions and reports, consultation, and expert opinion testimony as required on case by case basis. Scope of consultation covered pharmacy/ pharmacist standard of care, drug product liability, including failure to warn, side effects, adverse effects, drug interactions, and pharmacology.

Contract Consultant:

Advised institutional pharmacy clients on matters concerning pharmacy management, pharmacy/ pharmacist standard of care, regulatory compliance, risk management, medication use and safety, drug product liability, organizational management and improvement, policy and procedure development and implementation.

Managed and implemented special pharmacy projects including pharmacy information system conversion, drafted and implemented pharmacy policy and procedures, pharmacy information technology conversions, served as government agency liaison, and quality assurance and medication safety reporting (medication error) reviewer.

PRIOR ROLES

American University of Health Sciences (AUHS), School of Pharmacy

Signal Hill, California

Assistant Dean for Student Affairs, School of Pharmacy
Assistant Professor, Department of Pharmacy Practice

October 2012 to August 2014
October 2012 to August 2014

American University of Health Sciences, School of Pharmacy

Faculty Member
Assistant Professor, Department of Pharmacy Practice,

September 2011 - September 2012
September 2011 - September 2012

Attorney and Administrative Officer

Allan Lowy & Associates, APLC (law firm)
Beverly Hills, California

June 2001 to February 2008

Conducted legal research, drafted pleadings, court briefs and mediation briefs, and made court appearances (State and Federal). Drafted wills/ trusts for firm clients on a contract basis.

Pharmacy Compliance Contract Positions

Los Angeles County Department of Health Services and CDCR
Los Angeles, California

March 2008 to July 2010

Pharmacy Project Manager

Los Angeles County / Juvenile Court Health Services
Los Angeles, California

Satisfied regulatory concerns: Responded to pharmacy compliance citation; Introduced corrective action with internal controls for Rx dispensing; Expanded and improved efficiency of pharmacy by implementing new technology and increasing staff and pharmacy hours; Reduced pharmacy inventory costs by 65% with daily medication delivery to juvenile halls; Realized \$36K savings from single nursing unit by repatriating medications to central pharmacy; Enhanced

patient safety and reduced organizational liability, while improving overall quality of pharmacy services; Brought system into compliance with DOJ mandate.

Designed and implemented compliant medication management system, delivery and distribution plan

Performed managerial review of medication safety (PSN) reports.

Drafted short and long-term budget proposals for JCHS and Dept. of Justice approval respectively.

Drafted executive reports and PowerPoint presentations.

Managed pharmacy operations during transition in pharmacy systems technology with staff of 12 and \$2.5M budget

Pharmacist in Charge

California Dept. of Corrections and Rehabilitation,
Los Angeles County, California

Delivered a statewide compliance program mandated by Healthcare Receiver; Tasked to deliver and implement a statewide prison pharmacy improvement plan. Trained pharmacists and attained 95% compliance.

Identified inconsistent control of controlled drug distribution, tracking and inventory.

Designed, reformed and implemented local operating processes for drug distribution, tracking and inventory by prison pharmacy serving outpatients and licensed beds.

Oversaw safety assurance and improvement guidelines.

Managed pharmacy staff of 12 and \$4M budget; Drafted monthly reports.

Implemented Documed® after hours procedure enhancing patient safety, reducing need and budget allocation for pharmacist on call.

Other/ Earlier Pharmacy Positions:

Rx Relief, Inc. (various contract positions):

Los Angeles, California

May 2007 – December 2010

Pharmacist

Los Angeles County/ Mid Valley Ambulatory Clinic Pharmacy

Kedren Inpatient Psychiatric Pharmacy

Rite Aid (various locations throughout Metropolitan Los Angeles and Southern California)

Shriner's Children's Hospital Inpatient Pharmacy

Pacifica Hospital Inpatient Pharmacy

Pharmacist in Charge (permanent employee)

Home Therapy Services, Inc.

Van Nuys, California

June 1991 - January 1993

Rx Relief, Inc.

Pharmacist in Charge (interim contract position)
Home Therapy Services
Van Nuys, California

January 1991 – June 1991

Medical – Psychiatric Office Manager

Kenneth R. Kaufman, MD, Inc.
Los Angeles, California

June 1982 -October 1992

Consultant Pharmacist

Niemerow Pharmacies, Inc. (skilled nursing pharmacy services)
Pasadena, California

March 1981 – November 1981

Pharmacist

Los Angeles County – University of Southern California Medical Center
Pediatric Hospital
Los Angeles, California

December 1979 - February 1981

Pharmacy Intern

Century City Hospital Pharmacy
Los Angeles, California

May 1975 – November 1979

PROJECTS UNDERTAKEN/ONGOING

Several case studies based upon my experience as a forensic pharmacy expert are currently in development. Among these case studies are some which aim at reporting unusual observations concerning pharmacology and effects of prescribed medications, illicit drugs and also unusual regulatory reporting and compliance issues raised in matters I have been involved in. Several sample topics include:

- *Calcium Channel Blockers (Amlodipine and Felodipine) and Criminal Intent, A Pair of Cases;*
- *GABA and Ecstasy impact on Criminal Mental State;*
- *Child Abuse by Injection of Foreign Pharmaceuticals, A Case Study;*
- *The DEA's 5% Rule and Authorization for a Pharmacy to "distribute" Narcotics;*
- *Review of Literature and FDA Med Watch Adverse Event Reports on Xanax (alprazolam) and Suicidality;*
- *Review of Inhalers, Alcohol Content and DUI defense;*
- *Identifying the Achilles Heel of Pharmacy Technology and Informatics;*
- *Halcion implicated in Rage and Criminal Activity: A Case Study*

PROFESSIONAL AFFILIATIONS

American Pharmaceutical Association (APhA)

American Association of Colleges of Pharmacy (AACCP)

American Society of Health-Systems Pharmacists (ASHP)

American Society of Consultant Pharmacists (ASCP)

American Society for Pharmacy Law (ASPL)

Forensic Expert Witness Association (FEWA)

Southwestern University, School of Law Alumni Association

University of Southern California, School of Pharmacy (USC) Alumni Association

PARTIAL EXPERT CASE LIST

California cases:

Civil

- Hagen v. Fox Nutrition, et al., State of California, Los Angeles County Superior Court, Case No. PC031349X (Civil- Defense);
- Monge v. Costco, State of California, Fresno County Superior Court, Case No. 02 CEC –G-03058 (Civil -Defense);
- Freeberg v. Kaiser, State of California, San Diego County Superior Court, Case No. LC 47-1165-01 (Civil- Plaintiff);
- Borstein v. Stein, et al., State of California, Los Angeles County Superior Court, Central District, Case No. 335354 (Civil -Plaintiff);
- Paul Meco et al. v. IMR, Inc., dba Botaniclab et al., State of Calif., San Diego Cty. Sup.Ct. (Case No. GIC846200) & Los Angeles Cty. Sup. Ct (Case No. BC267700) (Civil- Defense) (Insurance Prove –Up) ;
- Patterson v. Walgreen’s, State of California, Count of San Diego, Superior Court, Case No. 37-2008-00075586-CU-PO-CTL (Civil – Plaintiff);
- Paul Sakrekoff, III. vs. Greenfield Pharmacy and Bellegrove Pharmacy, State of California, County of San Diego Superior Court, Case No. 37-2008-00086600-CU-PO-CTL (Civil - Insurance Defense);
- In Re Marriage of Eigler, State of California, Los Angeles County Superior Court, Central District Case No. (Civil – Dissolution- Plaintiff);
- In Re Marriage of O’Brien, State of California, Orange County Superior Court, Dept 67,Case No. 09D007688 (Civil – Dissolution- Petitioner);
- Oates v Cohen, CVS et al, State of California, San Diego Sup. Ct. Case No. 37-2010- 00- 10-1284-CU-MM-CTL) (Civil – Plaintiff);
- Paulos v. Tunney, Los Angeles Superior Court, Central District, Case No. BF039110 (Civil - Paternity/Child Abuse- Plaintiff);
- Sportpharm Pharmaceuticals Inc. v. Living Well Pharmaceuticals, Inc. et al., Los Angeles Superior Court, Southwest Division, Case No. YC11061138 (Civil- Plaintiff);

- Finstrom v UCLA Medical Center, Pfizer, Inc. et al., Los Angeles Superior Court, Case No. BC449011 (Civil- Plaintiff);
- Roush v. Nghi Dang, Friant Pharmacy, (Sup. Ct. Calif., County of Fresno: Case No. 11 CECG 00229 AMS) (Civil – Plaintiff);
- AMCO Insurance Company vs. Advanced Medical Builders [LASC Case No. 30-2012 - 00573782) (Civil – Defense) (Insurance Prove –Up).

Criminal

- People v. Hall, State of California, Los Angeles Superior Court, (Van Nuys, Division 113) Case No. 200600931(Criminal- Defense);
- People v. Sikat, State of California, Orange County Superior Court, Santa Ana, Case No. 03 SF0600 (Criminal - Defense);
- People v. Washington, State of California, Los Angeles County Superior Court, West District, Dept.140, Case. No. SA076772 (Criminal – Defense);
- People v. Fraga, State of California, Orange County Superior Court, Santa Ana, Case No. 09- CF1318 (Criminal- Defense);
- People v. Moore, State of California, Los Angeles County Superior Court, North District, Department North A2, Case No. MA052757 (Criminal – Defense);
- People v. Son Van Duong, State of California, County of Orange Superior Court, Central Justice Center, Case No. 08WF0633 (Criminal – Defense);
- People v. Cole, State of California, Los Angeles County Superior Court, West District, Dept LXD Case. No. SA069942 (Criminal - Defense);
- People v. Alvarez, State of California, Riverside County Superior Court, Southwest Justice Center, Dept. S302, Case No. #SWF025162 (Criminal – Defense);
- People v. Campos, State of California, Los Angeles County Superior Court Case No. BA 389078-01(Criminal – Defense);
- People v. Currier, State of California, Los Angeles County Superior Court, North Lancaster Judicial District, Case No.MAO56549 (Criminal – Defense);
- People v Williams, State of California, San Diego County Superior Court, Central District, Case No. CD247180 (Criminal – Defense) (evidence suppression hearing).

Other Jurisdictions:

Civil

- Marston v. Thrifty Payless, et al., State of Nevada, (Las Vegas) Clark County District Court, Case No. A473705 (Civil- Defense);
- Courtney v. Denny, Hua, Walgreen Pharmacy, et al., State of Nevada, Wahoe County Superior Court, Case No. CV05 01177 (Civil- Defense);

- Strong v. Merck et al., State of Arizona, Maricopa County Superior Court, CV2005-053195 (Civil- Plaintiff);
- Glinberg et al vs. Wisconsin Injured Patients & Families Compensation Fund et al; State of Wisconsin, Milwaukee County, Case Number 2009CV011147 (Civil – Petitioner);
- De Magana Perez vs. Walgreen’s Pharmacy, State of Nevada, Clark County District Court, Clark County, Case No. #A09592913C (Civil – Plaintiff);

Other Jurisdictions: Civil (continued)

- Knight et al vs. Fletcher et al., State of California, County of Butte, Case No 151339 (Civil Malpractice – Plaintiff);
- Butala v Palms West Hospital, State of Florida, Palm Beach County, Main Branch, Case No. 502011CA018307XXXXMB (Civil – Plaintiff);

Criminal

- State of Montana v. Christopher Dyer, State of Montana, (Kalispell) Flathead County Superior Court, Case No. DC-05-534 (Criminal- Defense);
- People v Gunter, State of Oregon, Circuit Court for the County of Clackamas, Case No.07-01881 (Criminal- Defense);
- State of Arizona v Fassler. State of Arizona, County of Mojave, Causes No. 11-TR-601, 11-TR-601 (I), 11-TR-601 (II),(Criminal –Defense).

INTERVIEWS, PUBLICATIONS, & PRESENTATIONS

Interviews:

Television Commentator: Panelist - Pharmacy Consultant, TV Guide Channel, “**Anna Nicole Smith: How She Died**,” March 26, 2007.

Television Commentator: Interviewee – TV Guide Channel’s *Hollywood 411*, “**Information about Propofol, the Drug Implicated in Michael Jackson’s Death**,” July 14, 2009.

Publications:

Rosenberg E, Continuing Education: California Legislative Update on Marijuana: What’s the Score? Rosenberg E, Albert E, Mospan G, Panther S Stein R, Ruble J. Research Brief: A Pilot Study on Pharmacy Law Education across Doctor of Pharmacy Programs, American Journal of Pharmacy Education (AJPE) December 2019, ajpe7172; DOI: <https://doi.org/10.5688/ajpe7172>.

Weisman F, **Rosenberg E**. Avoiding Common Legal Issues Confronted in Pharmacy Practice: Signing for Drug Deliveries, Overfilling Prescription Stock Bottles, Filling Out of State and Foreign Prescriptions (The Practicing Pharmacist Part II) (Minor revision, December 2019) Journal of Contemporary Pharmacy Practice, www.jcphp.com. California Pharmacists Association (CPhA).

Weisman F, **Rosenberg E**. Avoiding Common Legal Issues Confronted in Pharmacy Practice: Avoiding Common Legal Issues Confronted in Pharmacy Practice: Evaluating Prescriptions with PRN Wording and Calling for Refills (The Practicing Pharmacist Part I) Journal of Contemporary Pharmacy Practice, Quarter 4 Issue (2019), www.jcphp.com. California Pharmacists Association (CPhA).

Rosenberg E, Truong HA, Hsu A, Taheri, R; New Schools; Innovation at Its Best! Implementation and Lessons Learned from a Mock Trial as a Teaching- Learning and Assessment, Currents in Pharmacy Teaching and Learning (CPTL), Volume 10 Issue 8 (2018) <https://doi.org/10.1016/j.cptl.2018.05.014>.

Rosenberg E, Interpretation of California's Marijuana Regulation after Proposition 64, and Pharmacy Practice Roles in Medical Marijuana Dispensing against Federal Enforcement Risks, Journal of Contemporary Pharmacy Practice vol. 65, no. 1 (2018) www.jcphp.com. California Pharmacists Association (CPhA).

Weissman F, **Rosenberg E**, Continuing Education: Laws Expanding the Pharmacist's Role in Patient Care, Journal of Contemporary Pharmacy Practice, vol. 64, no 3 (2017). www.jcphp.com; California Pharmacists Association, (CPhA). <http://www.mazdigital.com/webreader/52221?page=8>.

Rosenberg E, Continuing Education: California Legislative Update on Marijuana: What's the Score? Why is the 51st State in Our Union the State of Confusion? California Pharmacist Journal, First published online September 1, 2016 at <http://www.cpha.com/Communications/California-Pharmacist-Journal>. California Pharmacist Journal, Vol LXIII, No. 3, Summer 2016 Issue.

Janke KK, Nelson MH, Bzowyckyj AS, Fuentes, DG, Rosenberg E, Di Cenzo R (2016) SPECIAL ARTICLE: Deliberate Integration of Student Leadership Development in Doctor of Pharmacy Programs, American Journal of Pharmaceutical Education, Volume 80, Issue 1, 2016: Article 2.

Janke KK, Nelson MH, Bzowyckyj AS, Fuentes DG, **Rosenberg E**, Di Cenzo R (2014) Deliberate Integration of Student Leadership Development in Doctor of Pharmacy Programs, published November 1, 2014, Center for Advancement of Pharmacy Education (CAPE), American Association of Colleges of Pharmacy (AACP), <http://www.aacp.org/resources/education/cape/Pages/default.aspx>.

Chong MT, Yamaki J, Harwood M, d'Assalenaux R, **Rosenberg E**, Aruoma O, Bishayee A (2014): Assessing health conditions and medication use among the homeless community in Long Beach, California; Journal of Research in Pharmacy Practice: Apr- Jun 2014, Vol 3, Issue : 2, pp: 56-61. http://www.jrpp.net/temp/JResPharmPract3256-1260797_002100.pdf.

NOTE: Articles below are listed in PubMed under E R Kaufman: *Ettie R. Kaufman is Ettie Rosenberg.

Kaufman KR, Finstead BA., **Kaufman, ER** (1986), Status Epilepticus following Electro -convulsive Therapy, Mount Sinai Journal of Medicine 53 (2): 119-122. PMID 3486347

Kaufman KR, Weekes ME., **Kaufman, ER** (1986), Right to Healthy Birth: Medicolegal Issues in Phencyclidine Ingestion. Child Abuse and Neglect, 10: 41 - 44. PMID: 3955427

Kaufman KR, Petrucha RA, Pitts, FN. **Kaufman ER** (1983), Phencyclidine cord blood incidence: Preliminary Data. American Journal of Psychiatry, 140 (4): 450- 452. PMID 6837783

Kaufman KR, Finstead BA, **Kaufman ER** (1985), Electroencephalography and Electroconvulsive therapy, *Electroencephalography and Clinical Neurophysiology*: 61(3): S178.

Podium Presentations

National

Rosenberg E, Truong HA (Proposal Submission 10/31/19) Speaker) American Association of Colleges of Pharmacy (ACCP) 2020 Annual Meeting Micro-Education Session: Use of Mock Trial for Teaching/Assessment of Affective Domain in Collaboration between Two Pharmacy Programs; ACCP 2020 Annual Meeting Long Beach, California. July 2020.

Rosenberg E, (Speaker) American Association of Colleges of Pharmacy (ACCP) 2019 Annual Meeting – History of Pharmacy SIG Continuing Education Session: Evolution and Application of US Marijuana Laws to **Pharmacists in Medical Cannabis Dispensing Roles, Chicago, Illinois. July 15, 2019.**

Rosenberg E, (Invited Speaker, November 2016) Using a Mock Trial to “Flip” the Classroom, Pharmacy Law Educators Seminar, American Society for Pharmacy and Law Developments in Pharmacy Law Seminar XXVII, . Hilton Austin, Texas, November 12, 2016

Rosenberg E, (Invited Speaker, July 2016) American Association of Colleges of Pharmacy (ACCP) 2016 Annual Meeting – Substance Abuse Education and Assistance SIG Continuing Education Session: Don't Put Mary Jane in the Corner: Essential Cannabis Curriculum and Conundrums, Anaheim, California. July 27, 2016.

Rosenberg E, (Invited Speaker, July 2015) Presented at American Association of Colleges of Pharmacy (ACCP) 2015 Annual Meeting –History of Pharmacy SIG, Continuing Education Session: The Harrison Narcotic Act (1914): Why Coke is not Coke Anymore, National Arbor, Maryland. July 21, 2015.

Local

Rosenberg E, (Invited Speaker) Legislative Update and Application of Marijuana Regulations, California Pharmacy Law and Ethics Summit, University of Southern California (USC) School of Pharmacy, August 19, 2018.

Rosenberg E (Invited Speaker Presentation, January 2017) Legislative Update on Marijuana in California (and the US) Spotlight on Health Policy, Institute of Health Policy and Leadership, Loma Linda University Health, January 25, 2017.

Rosenberg, E, West Coast University School of Pharmacy Preceptor Appreciation & Professional Development Seminar, Continuing Education: Updates in Pharmacy Law, West Coast University School of Pharmacy, Center for Graduate **Studies Campus, October 16, 2016.**

Poster Presentations:

Truong HA, Taheri R, **Rosenberg E** (Accepted for Research Presentation 2016, July) American Association of Colleges of Pharmacy (AACCP) 2016 Annual Meeting, Research Poster Presentation, **Development and Implementation of a Mock Trial as Final Exam in Required Evidence-Based Practice Course**, Anaheim, California. (July 25, 2016).

*Ettie R. Kaufman is Ettie Rosenberg

Kaufman KR, **Kaufman ER** (1983), **Legal Aspects of Anticonvulsants in Psychiatry and Psychotropics in Epilepsy**, Presented at 15th Epilepsy International Symposium. Washington, D.C., September 26-30, 1983.

Kaufman, K.R., Kaufman, E.R. : Psychotropic Management of Seizure Duration During Electroconvulsive Therapy: Trazodone, A Case Report. Royal College of Psychiatrists Annual Meeting. Brighton, England. July 2-6, 1991.

Kaufman, K.R., Kaufman, E.R. : Child Abuse Reporting Statutes - Psychiatric Sequelae. Third European Conference on Child Abuse and Neglect. Prague, Czechoslovakia, June 23 - 26, 1991.

Kaufman, K.R., Kaufman, E.R. Abuse in Three Generations. Eighth International Congress on Child Abuse and Neglect. Hamburg, West Germany, September 2- 6, 1990.

Kaufman, K.R., Geft, I.L., Kaufman, E.R. : Child Neglect: Theoretical Justification of Blood Transfusion to a Jehovah's Witness. London. '89: The Second International Conference on Health Law and Ethics. London, England, July 16 –21, 1989.

Kaufman, K.R. Finstead, B., Kaufman, E.R. : Electroencephalography and Electroconvulsive Therapy. 11th International Congress of Electroencephalography & Clinical Neurophysiology, London, England. August 25 -30, 1985.

Kaufman, K.R., Weekes, M.E., Kaufman, E.R.: Right to Health Birth: Medico- Legal Issues in Phencyclidine Ingestion. Fifth International Congress on Child Abuse and Neglect. Montreal, Quebec, Canada, September 16-19, 1984.

Kaufman, K.R., Kaufman, E.R.: Legal Aspects of Anticonvulsants in Psychiatry and Psychotropics in Epilepsy, 15th Epilepsy International Symposium. Washington, D.C., September 26-30, 1983.

Kaufman, K.R., Petrucha, R.A., Pitts, F.N. Kaufman, E.R. : Phencyclidine Cord Blood Incidence: Preliminary Data. 135th Annual Meeting of the American Psychiatric Association. Toronto, Canada. May 20, 1983.

Kaufman, K.R., Kaufman, E. R.: Biomedical Chemistry and Psychiatric Law. 28th Congress, International Union of Pure and Applied Chemistry. Vancouver, Canada. August 18, 1981.

Kaufman, K.R., Kaufman, E. R.: Legal Aspects of Psychotropic Medication in Oncology. 12th International Congress of Chemotherapy. Florence, Italy, July 22, 1981.

HONORS, TALENTS, ACHIEVEMENTS, RECOGNITIONS

Honors, Awards, Achievements, Talents, Recognitions:

Pharmacy:

University of Southern California, School of Pharmacy, Doctor of Pharmacy, Graduate, Class of 1979: Class Rank (top 15%)

Rho Chi Pharmacy Honor Society, Theta Chapter, elected 1978

Rho Pi Phi Pharmacy Fraternity, Kappa Chapter, elected 1976

Oncology Fellowship, awarded by, LAC -USC Cancer Center, Summer 1978

Law

Southwestern University School of Law, Juris Doctor, Graduate, Class of 1999

Judicial Externship, California Court of Appeal, Second District, Division Seven, Fall 1998

Journal of Law and Trade in the Americas, Notes & Comments Editor 1998, Staff Member 1997-98

Health Care Law Scholarship Recipient 1998, awarded by Health Care Law Section of Los Angeles County Bar Association to student demonstrating promise in Health Care Law practice.

Harvard Bluebook Award for Excellence in Editing, January 1998