

JAMES K. SWINDLE, AFSB
Surety Expert

Experience & Qualifications

EXPERT WITNESS EXPERIENCE

- 2023 – Expert Witness Services for the client of Leake & Andersson, LLP
Ellsworth Corporation and Alexander J. Ellsworth, Docket No. 2023-6199-INS (New Orleans, LA)
 - Provided Testimony in Deposition
 - Testified before Louisiana Administrative Law Judge Tameka Johnson-Moore
Contact Info: Stephen Gele (504) 237-6399
- 2021 – Expert Witness Services for the client of Decof, Barry, Mega, & Quinn, P.C.
Scire, et al. vs Rhode Island Airport Corporation, et al (Providence, RI)
Case involved standard underwriting process of the defendant’s appeal bond in order to determine if the plaintiff should accept the bond as provided
 - Provided Expert Witness consulting services for the plaintiff
Contact Info: Jeffrey Mega (401) 200-4059
- 2020 – Expert Witness Services for the client of Tritico Rainey, PLLC
Texley vs Glenn Hegar, Texas Comptroller (Houston, TX)
Case involved plaintiff’s ability to provide a \$2.8 million injunction bond to defendant
 - Provided Expert Witness Testimony for the plaintiff in Pre-Trial Hearing
Contact Info: Ron S. Rainey (713) 581-3399
- 2018 – Expert Witness Services for the client of Stephens, PLLC
Allied World Insurance Co vs. P2MG, LLC, et al (Houston, TX)
Case involved standard surety practices in enforcement of indemnity agreement and bad faith in surety claims handling
 - Provided Expert Witness Report for the Defendant
Contact Info: Kenneth Stephens (832) 930-0529
- 2018 – Expert Witness Services for the client of Marco & Sitaras, PLLC
Hanover Insurance Co. vs. Avalon Risk (New York, NY)
Case involved alleged violations of surety underwriting standard practices and violations of agent’s line of authority.
 - Provided Expert Witness Rebuttal Report and Testimony in Deposition for the Defendant
Contact Info: George Marco, Esq. (212) 430-6410 ext. 1193

- 2013 - Expert Witness for the client of The Law Office of Hayes & Welsh
American Asphalt vs. Western Insurance Co. (Reno, NV)
Case involved standard surety practices in handling collateral, breach of fiduciary duties, and bad faith by failing to return collateral
- Provided Expert Witness Consultation and Report for Plaintiff
Contact Info: Garry Hayes (702) 434-3444
- 2012 - Expert Witness for the client of Thompson, Coe, Cousins & Irons, LLP
EE Hood vs. American Home Insurance, et al (San Antonio, TX)
Case involved standard surety underwriting/collateral practices
- Provided Expert Witness consultation, financial statement analysis, work in progress analysis, and Expert Witness Report for the Defendant
Contact Info: Eric Bowers (214) 871-8263
- 2009 - Expert Witness for the client of E Garcia Law, LLP (**San Antonio, TX**)
- Provided Expert Witness financial statement analysis, work in progress analysis, and contractor bond capacity assessment for the Defendant
Contact Info: Edgar Garcia (210) 308-6677

CONSULTANT EXPERIENCE

- July 2022 – Present: Administrator
City of San Antonio Capacity Building & Bonding Assistance Program
- May 2014 – Present: Bonding Technical Assistance Provider
Texas Business Opportunities & Development - Bond Assistance Program
- 2011 – 2015: Program Provider
Texas Dept. of Transportation - Bonding Technical Assistance Program
- 2011 – 2013: Member of Surety Industry Task Force
City of San Antonio - Bonding Assistance Program

PROFESSIONAL DESIGNATIONS AND ACADEMIC

- AFSB (Associate in Fidelity and Surety Bonds)
- Licensed Insurance Agent
- Bachelors Degree in Business, University of North Texas

SURETY EXPERIENCE

- 14 years surety bond company underwriting experience for both contract and commercial bonds
- 21 years surety agency owner (Alamo Surety Bonds)
- Past President, The Surety Association of South Texas

AWARDS

- Excellence in Diversity Award - Hispanic Contractors Association
- Professional Service Provider of the Year – Hispanic Contractors Association
- Gold Award for Surety Bond Promotion - Surety & Fidelity Assoc. of America
- Award for Commitment to the UT HUB Technical Assistance Program - University of Texas System
- Outstanding Leadership as President, The Surety Association of South Texas